Panelists

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Topics Covered

- NSF Award Process
- Award Notification
- Awardee Responsibilities
- Notification and Requests
- Reports
- Monitoring
- AMBAP Visits
- Suspension and Termination

Award Process Overview

Program Review

Recommend? YES → Submit to DGA for Review

EHR BIO & SBE
Specialist Admin Review

MPS, GEO & OPP
Specialist Admin Review

ENG, CISE, OISE & OIA
Specialist Admin Review

Awards? YES

Grants Officer Approval

Award Letter

Awards? NO

Return to Program

NO

Declination Letter
How many awards are we talking about?

DGA typically approves over 17,000 funded actions, and approximately 5,000 non-funded actions each year.

Notification & Terms of Award

Grants

• Award Letter
• Research Terms and Conditions (RTC) or Grant General Conditions (GC-1)

Cooperative Agreements

• Award Notice
• Financial Administrative Terms and Conditions (FATC)
• Programmatic Terms and Conditions (PTC)
Awardee Responsibility

- Compliance with Federal rules
- Adherence to award terms
- Consistent with NSF policies
- Prudent management of funds
  - Allowable
  - Allocable
  - Reasonable

Post Award Notifications & Requests

Only “Requests” require NSF approval
Changes that need approval?

- Objective or scope
- Principal Investigators (PIs)
- Subawards
- Participant support
- Pre-award costs

What about more time?
- Extensions

Grant Transfers?

Awarded to the Institution and not the PI
- Nominate substitute PI
- Agree to transfer
- Request to subaward
- Terminate

Requires NSF Approval
Has my request been approved?

Not always an Award Amendment…
Check [FastLane](https://www.fastlane.nsf.gov) for expiration date.

Required Technical Reporting

- Annual Project Reports
- Final Project Report
- Project Outcomes Report

Overdue Reports
- Prevent any future funding
- Stop prior approvals
- Affect all PIs and co-PIs
Continuous Award Monitoring

Audits

Expenditures

Payments

Prior Approvals

Progress

Outreach

Closeout

Award Monitoring and Business Assistance Program (AMBAP)

Division of Grants & Agreements (DGA)
Division of Institution & Award Support (DIAS)

- Coordinate with Cost Analysis & Audit Resolution Branch
- Consult with Program Official
- Review Annual Reports
- Conduct Preparation Meetings
- Conduct Site Visit
- Write Post Site-Visit Report
- Follow-up activities as necessary
What happens if things really go wrong?

- NSF may suspend or terminate award
- Awardee can terminate

Award Management Online

Go to [nsf.gov/awards/managing/](https://nsf.gov/awards/managing/)

Direct Links to GPG & AAG

Find Award Conditions

Click on Policy Office for additional information
Ask Early, Ask Often!

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Division of Grants and Agreements Organization Chart
http://www.nsf.gov/bfa/dga/