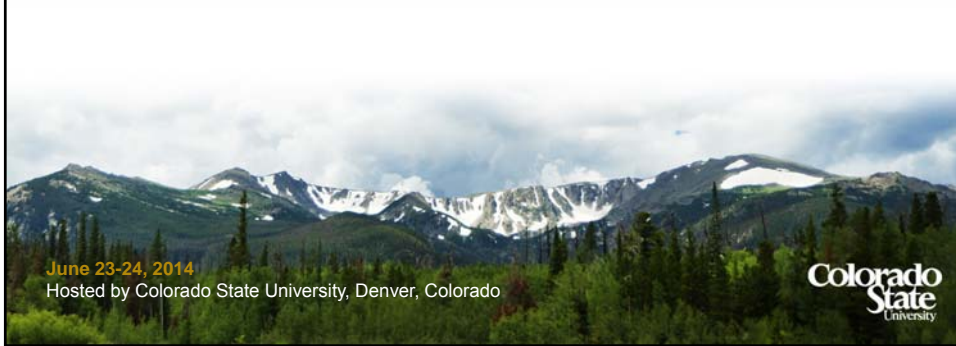




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## NSF Grants Conference Award Management



June 23-24, 2014

Hosted by Colorado State University, Denver, Colorado

Colorado  
State  
University



National Science Foundation  
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## Panelists

### Kim Bub

Team Lead, Office of Budget, Finance & Award  
Management; Division of Grants & Agreements (DGA)

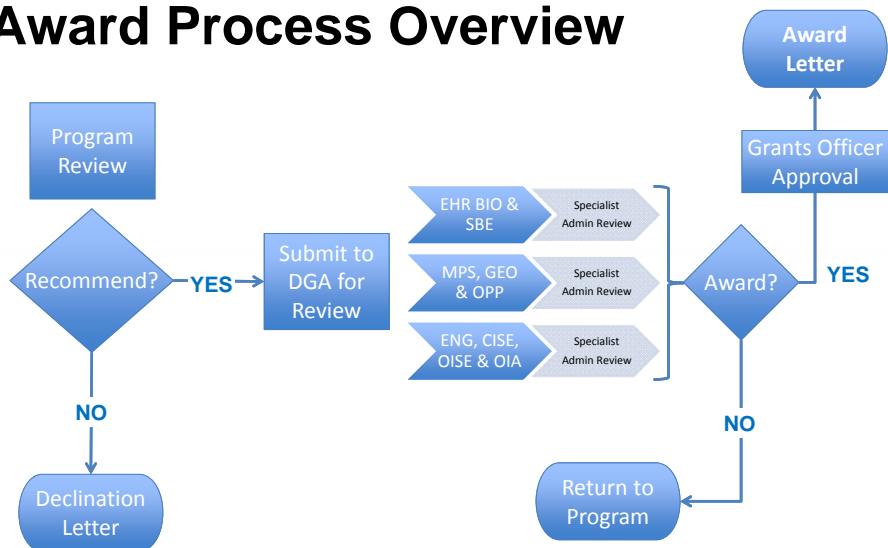


## Topics Covered

- NSF Award Process
- Award Notification
- Awardee Responsibilities
- Notification and Requests
- Reports
- Monitoring
- AMBAP Visits
- Suspension and Termination



## Award Process Overview





## How many awards are we talking about?

DGA typically approves over 17,000 funded actions, and approximately 5,000 non-funded actions each year.



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## Notification & Terms of Award

### Grants

- Award Letter
- Research Terms and Conditions (RTC) or Grant General Conditions (GC-1)



### Cooperative Agreements

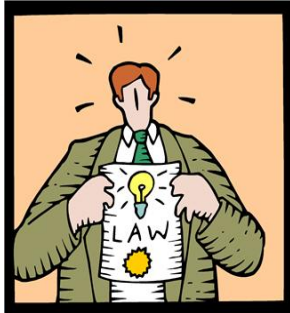
- Award Notice
- Financial Administrative Terms and Conditions (FATC)
- Programmatic Terms and Conditions (PTC)



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# Awardee Responsibility



- Compliance with Federal rules
- Adherence to award terms
- Consistent with NSF policies
- Prudent management of funds
  - Allowable
  - Allocable
  - Reasonable



# Post Award Notifications & Requests

GRANTEE NOTIFICATION TYPES	Topic Guidance
<input type="radio"/> Anticipated Residual Funds in excess of \$5,000 or 5%	<a href="#">AAG</a>
<input type="radio"/> Grantee Approved No Cost Extension	<a href="#">AAG</a>
<input type="radio"/> Cost Sharing Equal To or Greater Than \$500,000	<a href="#">AAG</a>
<input type="radio"/> Significant Changes/Delays or Events of Unusual Interest	<a href="#">AAG</a>
<input type="radio"/> Conflicts of Interests	<a href="#">AAG</a>
<input type="radio"/> Significant Changes in Methods/Procedures	<a href="#">AAG</a>
<input type="radio"/> Short-Term Absence of the PI/PD (Up to Three Months)	<a href="#">AAG</a>

**Only "Requests" require NSF approval**



## Changes that need approval?

- Objective or scope
- Principal Investigators (PIs)
- Subawards
- Participant support
- Pre-award costs



### What about more time?

- Extensions

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## Grant Transfers?



### Awarded to the Institution and not the PI

- Nominate substitute PI
- Agree to transfer
- Request to subaward
- Terminate

Requires NSF Approval



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## Has my request been approved?



Not always an Award Amendment...

Check  for expiration date.

[www.fastlane.nsf.gov](http://www.fastlane.nsf.gov)

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## Required Technical Reporting



- Annual Project Reports
- Final Project Report
- Project Outcomes Report

### Overdue Reports

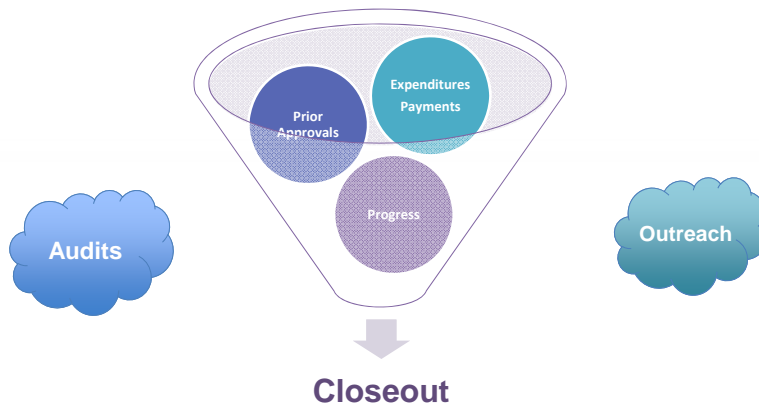
- Prevent any future funding
- Stop prior approvals
- Affect all PIs and co-PIs



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## Continuous Award Monitoring



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## Award Monitoring and Business Assistance Program (AMBAP)

Division of Grants & Agreements (DGA)

Division of Institution & Award Support (DIAS)

- Coordinate with Cost Analysis & Audit Resolution Branch
- Consult with Program Official
- Review Annual Reports
- Conduct Preparation Meetings
- Conduct Site Visit
- Write Post Site-Visit Report
- Follow-up activities as necessary

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# What happens if things really go wrong?

- NSF may suspend or terminate award



- Awardee can terminate



# Award Management Online

Go to [nsf.gov/awards/managing/](https://www.nsf.gov/awards/managing/)

Direct Links to GPG & AAG

Find Award Conditions

Click on Policy Office for additional information





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## Ask Early, Ask Often!

Kim Bub

Team Lead

MPS Team

Division of Grants & Agreements

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Division of Grants and Agreements Organization Chart

<http://www.nsf.gov/bfa/dga/>

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