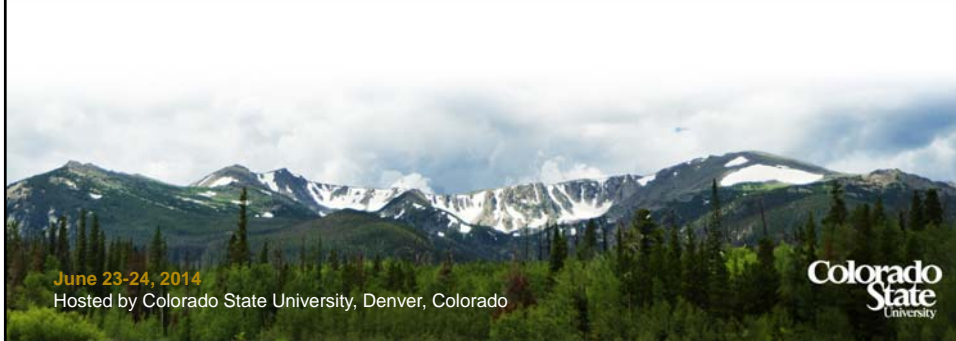




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NSF Grants Conference

NSF Policy Update



June 23-24, 2014
Hosted by Colorado State University, Denver, Colorado

Colorado
State
University



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Panelists

Jean Feldman

Head, Policy Office, Division of Institution & Award Support,
Office of Budget, Finance & Award Management

Susan Mason

Group Leader, Office of Legislative & Public Affairs,
Division of Legislative Affairs



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Ask Early, Ask Often!

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Topics

- **NSF Uniform Guidance Implementation**
 - **PAPPG Sections Significantly Revised**
- **Other Proposed Policy Changes**
- **Transparency & Accountability**
- **Q&A**

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OMB Consolidates Administrative Circulars

- What is it?
 - Biggest change to research administration in over fifty years
 - Consolidates eight administrative circulars into one
- How will it affect institutions?
 - Affects new awards and funding increments on or after December 26, 2014
 - Affects many areas from sub-recipient monitoring to indirect cost rates to voluntary committed cost sharing

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Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards “Uniform Guidance” Implementation: Key Dates

- OMB published Uniform Guidance on **December 26, 2013**
- Agencies are required to submit implementation plans, policies and procedures on **June 26, 2014**
- Guidance will apply to awards and funding increments on existing awards made on or after **December 26, 2014**
- Visit cfo.gov/cofar/ for the latest information

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Uniform Guidance Implementation: NSF Implementation

- NSF submitted request to use policy document in lieu of regulation to implement the Uniform Guidance
- This request was approved by OMB on April 23, 2014
- NSF posted notice of intent to revise the PAPPG in the Federal Register on May 8, 2014
- Comments from the external community accepted until cob **July 8, 2014**
 - Uniform Guidance related changes highlighted in yellow
 - References to relevant sections of the uniform guidance are provided
 - Policy Changes highlighted in blue
- Deviations from the Uniform Guidance requested in only two areas:
 - Limitation to two months salary compensation for faculty; and
 - Alternative to use of the Federal Financial Report (i.e., ACM\$)

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Sections of the PAPPG Significantly Revised to Comply with the Uniform Guidance

- Definitional Changes
- Budget Preparation Instructions
 - Administrative and Clerical Salaries & Wages Policy
 - Subawards
 - Visa Costs
 - Indirect Cost Coverage
- Risk Management Framework
- Composition of an NSF Award
- Grantee Notifications & Request for Approval from NSF
- Allowability of Cost Coverage
- Standard Terms and Conditions

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Proposed Policy-Related Changes in PAPPG

- NSF's electronic capabilities modernization status
- NSF closure in the event of inclement weather
- Definition of Travel for Budget Preparation Purposes
- Participant Support Prior Approvals
- New Proposal Mechanism: Ideas Labs
- Dual Use Research of Concern
- Change to Cost Sharing Notification Requirements
- NSF's Abstracts and Titles
- Process to Appeal NSF's Decision to Decline a Proposal for Financial or Administrative Reasons

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Uniform Guidance Implementation: NSF Implementation

- Draft PAPPG
 - http://www.nsf.gov/bfa/dias/policy/papp/papp15_1/fedregdraft/nsf15_1draft.pdf
- Federal Register Notice:
 - <http://www.gpo.gov/fdsys/pkg/FR-2014-05-09/pdf/2014-10685.pdf>
- Direct Questions to: policy@nsf.gov

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For More Information

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nsf.gov/staff

nsf.gov/staff/orglist.jsp

nsf.gov/about/career_opps/rotators/index.jsp

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